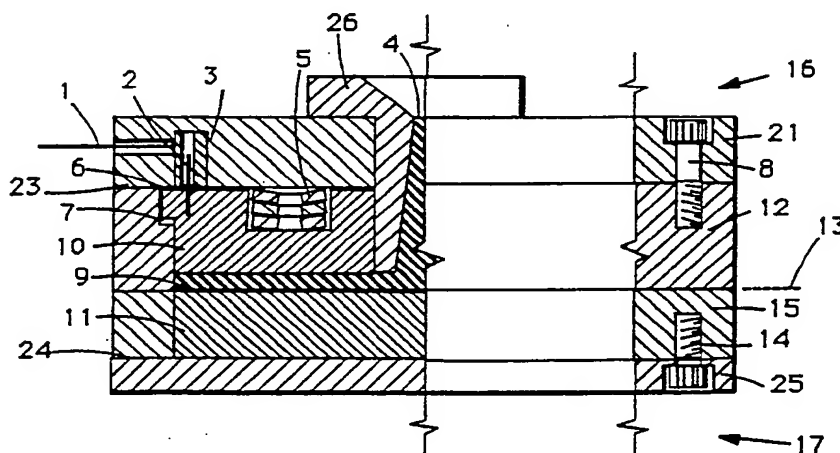




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(54) Title: PLASTIC INJECTION MOLDING VIA ADAPTIVE MOLD PROCESS



## (57) Abstract

In critical surface quality plastic molded optical lenses and disks, a new injection molding method and apparatus uses an "adaptive" mold cavity with a predetermined resiliency within the moldset, to automatically control melt pressure and densification during mold packing to within a predetermined range of acceptable values, while normal cycle-to-cycle molding process variations produce a corresponding minor but acceptable change in the molded-part thickness. A resilient member (5) such as a mechanical steel spring or elastomeric polymer or a hydraulic cylinder is interposed between the part-forming mold insert surface (10) and the associated clamp plate (21) for that half of the moldset. When the mold is initially closed, a separation distance between the A and B mold insert is less than the molded product specification's minimum acceptable part thickness. Sufficient volume of injected plastic melt (9) must enter the adaptive mold cavity to cause at least some minimum spring deflection.

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10 PLASTIC INJECTION MOLDING VIA ADAPTIVE MOLD PROCESS

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TECHNICAL FIELD

This invention relates to a process for controlling the degree of  
20 internal plastic packing in a single cavity or multicavity injection  
moldset by means of an "adaptive" mold cavity, while also minimizing  
the wellknown problems of cavity-to-cavity imbalance in multicavity  
molds.

25

BACKGROUND ART

A conventional moldset for plastic injection molding is constructed of  
high-strength metals and most specifically tool steels having a  
compressive yield strength at 0.5% elongation exceeding 689 MPa (100,000  
30 psi). The mold cavity itself is defined by a moldset parting line which  
opens and closes with each mold cycle, and on each side of the parting  
line is at least one part-forming mold insert surface, which in turn, is  
supported by these suitably-rigid moldset members so that very high  
force must be exerted to produce even ten millionths of an inch in

deflected distance relative to the mold clamp plate, when distances are measured at constant temperature. In this manner, a rigid moldset is used to form a closed mold cavity of comparatively fixed volume. From this mold is produced a molded part which must meet a product specification for thickness, with usual variation of at least + or - 0.05mm (0.002") being acceptable (commonly, far more).

For conventional injection molding, such a conventional moldset is quite satisfactory, as long as sufficient mold-clamping force is exerted by the injection molding machine to overcome the pressure exerted on the part-forming surfaces by the injected plastic melt, and at least enough injected plastic melt volume to at least initially fill the closed mold cavity.

A "short shot" would be defined as an injected melt volume insufficient to at least fill out the cavity configuration, such that the end-of-flow perimeter of the molded part is visibly misshapen. "Flash" is defined as an excess of injected melt volume such that the mold-clamping force has been exceeded by the injected melt's pressure on the mold, so that plastic melt leakage into the parting line has visually occurred. By ordinary standards, a molder is said to have completed his job satisfactorily as long as he maintains his processing conditions somewhere between these "short shot" and "flash" condition boundaries.

However, with the continuing development of improved engineering plastics having much greater dimensional stability at elevated temperatures and under load (i.e., creep resistance), plastic has increasingly able to be competitive in very-high-precision manufactured parts which otherwise would have been made out of a precision machined metal or lapped glass. Such an example is computer hard disk drive media, wherein the disk substrate has been traditionally aluminum which

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is machined and lapped to extremely high tolerances and is now under competition from optical glass flats which are suitably lapped for even better micro-surface and planarity. Another example is in optical lenses which traditionally have been ground and polished glass.

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These examples are part of a class of potential applications for molded plastic in competition to machined and/or lapped-metal or glass parts. The product tolerances in each case permit some variation in thickness from nominal (here, nominal = that central value, around which there  
10 is some defined range of also acceptable greater and lesser values, which are the "plus or minus" part of specification values) but are quite strict regarding imperfect surface quality or imprecise surface contour. For example, camera optical lenses must be true to their nominal radius of curvature within less than one wavelength of light and  
15 are typically checked by interferometric measurements for accuracy of surface contour. Surface RMS value is also measured in a fractional microns. In contrast, the acceptable variation from nominal thickness is at least + or - .05mm (.002 inch), orders of magnitude larger variation than is tolerable for surface contour deviation or roughness.

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Similar tolerances exist for optical disk and even more stringent surface tolerance for magnetic-media hard disk substrate.

In each case, this higher priority for surface accuracy and  
25 macro-texture should dictate a correspondingly high concern over those factors which in plastic molding dictate the quality of molded-part-surface replication of the extremely-high-precision mold surfaces against which the molded plastic part is formed. However, even perfection in the mold surface does not by any means guarantee  
30 perfection in the corresponding plastic part made therefrom, since the degree of surface replication quality is well known to correlate

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extremely strongly with the mold packing parameter. Most specifically, the plastic must not be allowed to shrink away from the precision mold surface before the plastic has sufficiently cooled to fully replicate the surface detail and contour of the mold surface, and this can only be  
5 assured by putting the plastic melt under continuing pressure and this enforced densification compensates for the inevitable degree of thermal shrinkage of the plastic during its cooling process.

In recognition of this strong predictive correlation between mold  
10 packing and corresponding melt density and pressures, and resulting molded-part accuracy of surface contour and micro-finish, a greater need for monitoring and/or controlling the melt density and pressures within the filled mold cavity have become correspondingly important to this field of the present invention, since controlling these undesirable  
15 variations is much more difficult in multicavity molding vs. single cavity molding.

Johnson (U.S. 2,443,826 issued June 22, 1948) teaches a lens molding apparatus with coiled steel compression springs whose function is to  
20 become compressed as the injected melt's pressure rises to its peak, then as cooling and shrinkage starts, the spring recovers and exerts pressure upon the plastic. It uses this apparatus to "form lenses of predetermined thickness", which is achieved by intentionally fully deflecting the dies (his (12) and (13)) till they reach his "stops  
25 [which] in this case are simply the annular bases of the sockets 14 and 15", which causes these relatively weak coil springs (his (17) and (18)) to be fully compressed. See column 2, lines 35-48.

However, there is no apparent concern for nor provision for preventing  
30 the spring from "bottoming out" (loaded so as to allow the rigid elements of the spring-loaded assembly to rest against each other, so

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that no further compressive displacement is possible regardless of the additional load ). Once "bottomed out" , the melt pressure is free to vary uncontrollably (which results in unpredictable mold packing , melt density variations , and corresponding variations in molded part's surface contour and microfinish ), up to the point where the melt pressure is so high it overcomes the clamping force and flashes the mold . Nor does Johnson's apparatus recognize any value to any stiffer types of steel springs (since he is apparently unaware of any disadvantage to allowing his springs to bottom out ) , nor any alternatives to conventional metal springs at all , such as are taught by the present invention .

Furthermore , Johnson doesn't teach any means for measuring cavity melt pressure , spring load or deflection , nor any of the molded part's surface quality attributes . Never mentioned is any value in monitoring or correcting cavity imbalance nor compensating to prevent flash in a multicavity moldset , even though Johnson's illustration shows a 2 - cavity mold . Nor does Johnson speak to the need to measure or control cavity melt pressure in order to assure the molded part's surface contour or microfinish .

The most primitive attempts achieve the latter objectives date back to the 1960's, by simply implanting a strain-gauge-type pressure transducer into a conventional moldset which forms a fixed volumetric cavity with rigidly supported part-forming mold inserts . This pressure transducer is placed in communication with the plastic melt at some point within the moldset, either in direct contact with the melt itself (i.e., implanted inside the mold cavity or in the runner and/or gate area leading into the mold cavity), or else to place such a transducer behind a movable tool steel pin or rod, which in turn is pressurized by

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its contact with the plastic melt (such as is the case for an ejector pin, placed in contact with the plastic runner and/or knockout tabs at the end-of-fill position on the molded plastic part itself).

- 5 An alternative method of monitoring in indirect fashion the cavity melt pressure is to implant at the parting line of the conventional moldset described above a proximity sensor, which resolves to millionths of an inch or centimeter, to measure the tendency of A and B mold plates to separate at the parting line as the molding process cycle sequence moves  
10 from an empty mold to a filled mold to packing pressure at maximum and then diminishes as cooling and shrinkage take place. Its advantages are :

1. it is no longer a one-point measurement within the surfaces wetted by the plastic melt

15

2. it is comparatively easy to install without redesign of the mold

This parting line micro-gap distance is proportional to an average force exerted by the melt, which is striving to drive apart these mold plates,  
20 which are held together by the clamping force of the molding machine. This technology has been commercialized recently by K-Tron, a subsidiary of Kodak. See 1988 SPE ANTEC paper reference. However, even this new indirect method of cavity-melt-pressure and density prediction still has limitations:

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1. The proximity-sensed micro-gap at the parting line due to the melt pressure can be exceeded by an order of magnitude by the changes in temperature which occur from a mold at room temperature as compared to the same mold at its elevated operating temperatures. In other words,  
30 the signal-to-noise ratio is potentially very suspect unless very good compensation is made for these thermal expansion characteristics of the mold.



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2. In a multi-cavity moldset, the parting line micro-gap represents the sum of the individual cavity melt pressures exerted against the mold clamping force, and there is no way to determine thereby such forces acting in individual cavities. Most specifically, there is no insight  
5 given into the possible imbalance which can exist from an individual cavity to another individual cavity, and since such information is not available, it is not possible thereby to use this generally predictive technique for problem-solving in correcting for cavity imbalance.

10 Furthermore, if an erroneous setup allows more than a slight excess of plastic to be injected, the resulting overfill causes flashing to occur, which can cause mold damage if undetected.

Another interesting technique monitors the melt pressure in an  
15 anticipatory fashion before the mold cavity is fully filled, by means of measuring upstream within the runner system the instantaneous changes in melt pressure, which would then plot out as a melt-pressure waveform versus time. This observed waveform is compared to a reference waveform, to give a predetermined change in molding machine setup,  
20 specifically the change in clamping force applied to the moldset to compress and further densify the injected plastic melt within the mold cavity. These are the Technoplas references:

- (1) European patent application 0128722, on their application # 84303756.5, filed June 6, 1984
- 25 (2) European patent application 0130769, on their application # 84304290.4, filed June 25, 1984.

However, it doesn't help monitor and correct for cavity - to- cavity imbalance in a multicavity moldset, nor protect against flashing if  
30 more than slight overfill were to happen. Also, these teachings of Technoplas clearly are limited to single-cavity molding in that, even

though individual cavity melt-pressure waveforms could be sensed, only one setting for the machine clamp can be chosen, which will then act equally upon all.

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DISCLOSURE OF INVENTION

conventional injection molds made of conventional tool steel components whose resiliency or elasticity is at least one or two orders of magnitude lower. These conventional substantially rigid moldsets adequately assure final molded-part thickness to be within  
10 specification tolerances, provided that enough plastic is injected into the mold cavity to 100% volumetrically fill these conventional substantially rigid mold cavities. However, proper packing to a desired melt density and pressure to compensate for the cooling process shrinkages is not assured. Thus, normal process variations and  
15 cycle-to-cycle reproducibility problems show up in degree of mold packing (and consequently in imprecise replication of surface contour and micro-finish), while maintaining essentially constant the part thickness.

20 In contrast to that conventional state of arts is the "adaptive" mold cavity of the present invention, which employs a predetermined degree of resiliency in at least one side of the parting line within the moldset, whereby the melt's internal pressurization and densification during mold packing is obtained within a predetermined range of acceptable values,  
25 while cycle-to-cycle variations produce a corresponding change instead in the molded-part thickness, provided that the following necessary and sufficient conditions are met:

1. On either the stationary or movable halves of the moldset, there  
30 must be a resilient member interposed between the part-forming mold insert surface and the associated clamp plate for that half of the

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moldset . Yet , like a conventional moldset , this "adaptive" mold's clamping force is still transmitted through the mold clamp plate to the mold insert, and conversely, force transmitted by the cavity's contents -- the molten plastic's exerted pressure -- pushing internally against the opposing mold inserts' partforming surface, is further transmitted back to the corresponding mold clamp plate. This path of mechanical support and force transmission must contain a resilient member, preferably such as a steel mechanical spring but also could be an elastomeric polymer of known and predetermined modulus, or a hydraulic or pneumatic cylinder.

2. When the mold is initially closed but not filled, there exists a first position having a first separation distance between the stationary-half and movable-half mold inserts, and this first separation distance is equal to or less than the molded product's specification value for minimum acceptable part thickness.

3. At least sufficient volume of injected plastic melt must enter the adaptive mold cavity to cause at least some deflection of the resilient member toward its corresponding clamp plate, to assure that the resulting molded-part thickness will be somewhere between minimum and maximum thickness tolerances. This second position of the opposing mold inserts corresponds to a second separation distance which must also be less than or equal to the maximum acceptable molded-part thickness under the product specification. At maximum acceptable volume of the injected melt , the resilient member is still incompletely compressed and doesn't bottom out.

If enough excess volume of injected plastic melt enters the adaptive mold cavity , it will cause no further deflection of the resilient member (i.e. bottoming out ) and thus can the product's density become

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overpacked. This condition can be easily detected because this opposing mold inserts' third separation distance must also be greater than the maximum acceptable molded-part thickness under the product specification.

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4. Within that minimum and maximum thickness range, variations in melt volume or packing pressure will cause changes in the deflected-cavity positional displacement and correspondingly in cavity volume, (thus resulting in changed molded-part thickness and mass). Yet, in spite of  
10 cycle-to-cycle variations (or even cavity-to-cavity variations in multicavity molding) in the molding process, the resulting melt density and pressure during packing and cooling stages of the molding cycle will stay inside the acceptable range.

15 In other words, the mold cavity is "adaptive". After the injected melt causes deflection of the resilient member, a self-actuating equilibrium is again restored, as follows:

a. the injected melt pushes upon the adaptive cavity's partforming  
20 surface

b. the resilient member is partially compressed, within the previously-defined range of deflection

25 c. each increment of deflection increases the volume occupied by the injected melt and correspondingly drops its melt pressure, while increasing incrementally the opposing "spring" force (exception: certain hydraulic cylinder embodiments shown later)

30 d. as a result, the applied mold packing force exerted by the melt declines until it now equals the opposing resilient member's increased "spring" force

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e. at which point the rearward deflection "stalls out" (without having "bottomed out against a mechanical hardstop)

f. as time passes and the melt cools, the resulting volumetric shrinkage 5 causes the resilient member to recover gradually part (but not all ) of its uncompressed length

This adaptive cavity's proper self-adjusting performance is verified by means of a high-resolution, low-travel position-sensing device, most 10 preferably, an LVDT (Linear Variable Differential Transformers) , whose output is available in realtime , so that the molded part's surface quality can be predicted even before the mold opens.

The preferred apparatus employs a suitable LVDT chosen for its 15 substantially linear response and combines with a pre-loaded spring (or equivalent) , to give a calculated range of deflection corresponding to no greater than the maximum part-thickness tolerance specified . The LVDT is positioned within the path of force transmitted between the mold clamp plate and the mold insert, such that the LVDT, an 20 electromechanical transducer that produces an electrical output proportional to displacement of a separate movable core relative to a fixed positional coil structure, functions as an essentially frictionless device , for minimum wear .

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#### BRIEF DESCRIPTION OF DRAWINGS

Referring to the drawings , wherein like numerals refer to like parts throughout the several views :

30 Figure 1 shows a crosssectional view of a moldset of the present invention , showing as a preferred embodiment for the resilient member a

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stack of belleville springs interposed between the insert and mold clamp plate .

Figure 2 shows a crosssectional view of a moldset of the present invention , showing as a preferred embodiment for the resilient member a hydraulic cylinder interposed between the insert and mold clamp plate .

Figure 3a shows a detailed portion of the crosssectional view of a moldset of the Figure 1 type , wherein the preloaded assembly is not yet deflected rearward , as might be the case when either injection step has not yet started or else insufficient plastic volume has been injected.

Figure 3b shows a detailed portion of the crosssectional view of a moldset of the Figure 1 type , wherein the preloaded assembly is fully deflected rearward , as might be the case when excessive plastic volume has been injected.

Figure 3c shows a detailed portion of the crosssectional view of a moldset of the Figure 1 type , wherein the preloaded assembly is optimally deflected rearward , as might be the case when fully sufficient but not excessive plastic volume has been injected.

#### BEST MODE FOR CARRYING OUT THE INVENTION

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Refer to Figure 1 . A single cavity moldset is shown in sectional view , wherein clamping forces are supplied by the injection molding machine (not shown) through the stationary platen (16) and movable platen (17) to the stationary half (12) of the moldset and movable side half (15) of the moldset through clamp plates (21) and (25) which are rigidly mounted onto the stationary platen (16) and movable platen (17) respectively , in order to hold closed the moldset's parting line (13) .

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A mold cavity is formed by two opposing mold inserts (10) and (11) whose inward - facing surfaces will be wetted by the incoming plastic melt (4) entering the mold cavity through sprue bushing (26) and onto whose surfaces the plastic part (9) ultimately will be formed. A first mold  
5 insert (10) surface is located on the stationary half of the mold parting line (13) of the moldset and a second mold insert (11) surface is located on the movable half of the parting line (13) of the moldset.

When the mold is closed and ready for injection to start , these first  
10 (10) and second (11) mold insert surfaces are in a first position , and are separated by a predetermined first distance which is equal to or slightly less than the sum of the desired final part thickness , measured at room temperature , plus a thermal shrinkage factor characteristic of that particular plastic. This first distance takes  
15 into account the preload applied to resilient member (5) by means of its settable compression ( determined by the degree to which shoulder bolt (8) is tightened during assembly of stationary half (12).)

For purposes of clarification , before assembly into (12) , resilient  
20 member (5) has a first free length  $L_1$  , and after assembly it's preloaded to a second, somewhat shorter length  $L_2$  . Throughout this application , the terms "uncompressed" , "partially compressed" and "fully compressed" are used to mean as follows :

1. "uncompressed" refers to the preloaded condition , length  $L_2$  , before  
25 injection takes place or before enough melt volume is in the cavity to force some deflection
2. "partially compressed" refers to that intermediate state of deflection between 1. and 3., and its length  $L_3$  is any value between  $L_2$  (maximum) and  $L_4$  (minimum)

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3. "fully compressed" refers to the state of such full melt volume loading of the mold cavity that the resilient member is bottomed out against the rigid supporting structure, and any additional loading will not cause any further deflection. L4 is this length, which is its  
5 smallest value.

This first mold insert's (10) part-forming surface is a second distance away from its corresponding stationary-half clamp plate face (23), and the second mold insert's (11) part-forming surface is a third distance  
10 from its movable-half clamp plate face (24). At least one of these two distances is capable of being measurably shortened in direct proportion to the plastic's (9) melt volume and its corresponding melt-pressure-applied load exerted upon the insert's part-forming surface by means of a resilient member (5) interposed between the mold insert's part-forming  
15 surface and its corresponding mold clamp plate, with all other mechanical elements therebetween being of a conventional rigid construction. As shown in Figure 1, only the first mold insert (10) is so provided, with the second mold insert (11) being of fixed and conventional design and construction. (It would be obviously possible to  
20 implement such resilient member's assemblies on both halves of the moldset, but such would have no advantages and would be a less preferred embodiment due to its redundant parts and more complicated monitoring scheme).

25 Once a sufficient volume of plastic melt has been injected into the closed mold cavity of the present invention so that the injected volume at that melt temperature exceeds the volume of the closed mold cavity when empty (but is still insufficient to completely compress and bottom out the resilient member), then this injected melt volume exerts a  
30 load upon the part-forming mold insert surfaces. This causes the first



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mold insert (10) surface to be deflected to a second position which is now further from the opposing insert's surface than the first distance defined earlier and which also is closer to its corresponding mold clamp plate than the second distance defined earlier. All this happens by  
5 partially compressing resilient member (5) proportionately to the exerted load. For a steel mechanical spring as shown in Figure 1, the specific amount of deflection for any given load applied by the plastic is a function of the chosen spring and its applied pre-load.

10 In other words, the volume occupied by the part (9) will be at its maximum when just fully filled and packed, at which time the plastic's temperature will start to decline, as will its occupied volume and correspondingly its melt pressure exerted against the mold inserts' inward-facing part-forming surfaces. As time passes and cooling  
15 continues, the plastic melt shrinks volumetrically and solidifies, bringing the opposing mold inserts to a third position which is closer together than the second position just previously defined, and which allows the resilient member to recover some of its length from the previous second position. As this thermal shrinkage is proceeding,  
20 starting with that plastic in direct contact with the mold inserts' surface and progressing inward to the warmer core, it is absolutely essential to not allow the plastic to shrink away from these part-forming surfaces and thus lose contact with these surfaces before the solidifying plastic is fully set. Only in this way can the mold  
25 inserts' precise surface finish and contour be assured of being replicated onto the plastic part.

Since optical lenses and disks require very excellent surfaces, a high melt pressure in the mold cavity is needed for such surface replication  
30 . That required minimum melt pressure varies, depending upon factors of

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polymer chain mobility ( including mold & melt temperatures and intrinsic viscosity of the selected plastic ) and % shrinkage , among others . A general guideline for many different such combinations would be for the average melt pressure exerted over the part-forming surface to be at the very minimum 6.9MPa (1000 psi) , preferably above 13.8MPa (2000 psi) and most preferrably above 20.7MPa (3000 psi) . No sure maximum value exists, but above 34.45MPa (5000 psi) it takes great clamping forces to hold the parting line closed and to prevent flashing , so the injection molding machine must be larger in clamp tonnage and therefore more expensive . Also , running higher than needed clamp force is hard on the machine and is energy - inefficient. Any such average values above 68.9MPa (10,000 psi) are impractical for any plastic part configuration of substantial projected surface area .

Given the average melt pressures , the resilient member's resisting "spring" force can now be calculated , since the two forces must become equal at the point where no further rearward deflection occurs (assuming that the spring is not allowed to be mechanically bottomed out ). Thus , for example , a spectacle lens having a projected area measured at the parting line of 45 square centimeters (7 square inches) with a desired average melt pressure of 24MPa (3,500 psi) requires a minimal spring force of 5500 N (24,500 lbs.) within the allowable range of deflection . This spring force of greater than 5.5KN (12 tons) must be available , in this lens example , with a spring deflection of less than 0.1mm (.004 inches) , or the lens will exceed allowable thickness . Therefore , springs of a compact design with very high spring forces are generally desired .

In general , many types of mechanical springs could be considered , including steel types and organic elastomer type springs . Coil steel

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die springs are widely used in moldsets. However, in this application, their spring force per unit of space volume occupied is generally lower than optimum.

5 Belleville disk springs, sometimes called spring washers, are therefore a preferred type of resilient member, usually in a multi-spring stack assembly as shown in Figure 1's resilient member (5). One commercial source is Key Bellevilles Inc. of Leechburg, PA.

10 Further, it is very desirable to apply a pre-load to the spring assembly. This can be accomplished in many ways well known to the art. One such way is shown in Figure 1. For example, the product thickness tolerance range for an audio compact disk is 1.2mm (0.048") + or - 0.1mm (.004"), so the total allowable deflection is < 0.2 mm (.008").

15 This 0.008" is then added to the nominal machined depth cut into "A" insert support plate (12) to equal the height of "ears" on the backside of mold insert (10). So, if the ears are 12.7 mm (0.500") high, the depth of cut into "A" insert support plate (12) must be 12.9 mm (0.508").

20 Then, when plates (12) and (21) are assembled with insert (10) and spring (5), the reduction of spring (5)'s first length L1 to its second, preloaded length L2 (previously defined) is maintained by threaded shoulder bolt (8).

One alternative to steel mechanical springs, which eventually fatigue

25 after many molding cycles (of load and unload), include elastomeric polymers, most particularly those reinforced grades of high modulus and good heat resistance and low hysteresis.

Another alternative would be a hydraulic cylinder assembly, as shown

30 in Fig. 2. It shows a hydraulic cylinder (fitted with seal (36)) as the resilient member (5), which is maintained in fluid communication (by

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conventional plumbing pipes (31)) with pressure reducing valve (32) ,  
whose function is to maintain a desired constant pressure value on the  
cylinder , by diverting excess to tank (33) , from which also is drawn  
fluid by pump (34) driven by motor (35). Pancake cylinders have compact  
5 size , sufficient force and stroke lengths , and are a particularly  
preferred type , widely commercially available. A similar function can  
be done by pneumatic cylinders, of which a recent variation uses  
nitrogen gas in a compact cylinder design is perhaps best, but in  
general they offer less spring force per unit space volume , so are less  
10 preferred.

In general the hydraulic cylinder functions similarly to the steel  
mechanical springs , but differing in being settable to maintain a  
constant resistive force throughout its permissible range of rearward  
15 deflection , whereas even the stiffest springs with preload gain in  
resistive force for each increment of rearward deflection . This  
provides for the greatest precision in assuring a reproducible melt  
pressure for cavity packing in spite of cycle-to-cycle injection molding  
variations which will then produce variations in part thickness but  
20 virtually no variation in melt packing .

However , disadvantages are :

1. risk of oil leakage from the cylinder , which might migrate to the  
partforming surfaces -- this is a disaster for any optical plastic  
25 moldings and a major problem to any parts with critical surface quality  
--the very type which can most benefit from the present invention .
2. much greater complexity , more to buy and maintain.
3. whereas the steel or elastomeric springs are self-actuating once set  
up , the hydraulic circuit needs to be frequently monitored and  
30 adjusted for proper working.

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Any of these embodiments of resilient member (5) will allow for a greater margin for melt volume overflow error, when compared to a conventional rigidly constructed mold cavity, and thus accommodate more process variation before allowing the mold to be flashed. This is  
5 especially important in minimizing multicavity imbalance problems.

Figure 3a, 3b and 3c show the extremes of operating latitude of the present invention, with Belleville springs chosen as the resilient member. Figure 3a shows a just slightly "partially compressed" condition,  
10, with just enough melt volume in the cavity to force some deflection, creating a small gap (7) compared to a much larger gap (6). (In all examples, the maximum permissible thickness tolerance is the sum of gaps (6) and (7), as discussed earlier regarding setting preload). The resulting part will be barely within specification, but on the thin  
15 side of target value.

Figure 3b shows a nearly "fully compressed" condition, with more than enough melt volume in the cavity to force the desired deflection, as shown by very unequal gaps (7) and (6). The resulting part will be  
20 barely within specification, on the very high side of target value. It has such full melt volume loading of the mold cavity that the resilient member is nearly bottomed out against the rigid supporting structure.

Figure 3c shows a midrange "partially compressed" condition, with  
25 enough melt volume in the cavity to force the desired deflection, as shown by nearly equal gaps (7) and (6). The resulting part will be centered within specification, right on target value. In each example, the size of gap (6) is sensed by position sensor assembly (shown here as an LVDT with fixed coil (3) and moveable core (2)).

20

The placement of the LVDT should correspond to an initial position when the mold is closed but empty, and a final position when the mold insert has been deflected rearward under maximum melt pressure within the mold cavity. Referring again to Figures 3, the LVDT device translates this change in the relative position of its fixed coil (3) (shown rigidly attached to clamp plate (21)) and movable core (2) (shown rigidly attached to mold insert (10)) within it into a certain AC or DC voltage signal transmitted down output lead wire (1). This voltage signal, in turn, can be simply read for relative comparison purposes on a voltmeter.

Or, most preferably, for statistical process control means, the LVDT voltage output can be translated into a digital output, by means of signal conditioning electronic circuit boards well known to the art, and entered into microcomputer data files upon which X-bar ("average") and R ("range") values and standard deviations can be calculated, in order to measure process stability and capability. This LVDT output information can be easily displayed in digital form or displayed graphically upon such micro-computers as IBM PC-AT to show the well-known calculated control limits and moving averages or histograms of a series of successive mold cycles with respect to actual deflection which corresponds to a "peak packing" pressure of the melt exerted, on average, across the mold insert surfaces. Such a PC-based system using mold-implanted sensors is described in the co-inventors' technical paper (and block diagram therein) published in the Conference Proceedings of the Society of Plastics Engineers 46th Annual Technical Conference, pp. 1657-9.

Such LVDT products are commercially available from Schaevitz Engineering of Pennsauken, NJ. LVDT's combine the virtue of mechanical compactness

and durability with very high resolution of small incremental positional changes. Other types of position-sensing devices occupy considerably greater space or have a less-durable service life in the rugged heat and mechanical-force environment within an injection molding moldset, but  
5 could conceivably include linear potentiometers or rotary encoders.

Thus, referring to Figure 1, a LVDT measures the deflection (see gap (6) measured between the back surface of first mold insert (10) and a "reference" front surface of mold clamp plate (21)) as follows:

10

first, when the mold cavity is empty (not shown), and the mold insert (10) is in its first, undeflected position, the relative positions of fixed coil (3) and movable core (2) are defined as "zero deflection" (or "adjusted to zero" LVDT output voltage).

15

second, when the plastic part (9) is occupying its maximum volume, then the mold cavity is at its fullest, and the mold insert (10) is in its second, deflected position, gap (6) is now changed accordingly, the average melt pressure is exerting its maximum load onto the  
20 part-forming surfaces, and the LVDT gives its peak output voltage.

All this is accomplished without leaving any surface mark onto the molded part, unlike other prior art means. Functionally equivalent to the LVDT would be other position-sensing electronic devices like rotary  
25 encoders or linear potentiometers or such mechanical gap-measuring devices like a dial indicator mounted at gap (6) and read out on each cycle (certain digital dial indicators such as made by Mitutoyo can also electronically datalog these measurements automatically now). Even cruder but another possible functional equivalent method would be to  
30 use the "partially compressed" springs in the moldset (not allowed to bottom out like Johnson) but not measure deflection directly within the

moldset but rather indirectly , by measuring relative change in part weight or thickness outside the moldset.

So , using the LVDT output information , a suitably scientifically-based  
5 change in injected melt volume may be made in a future molding cycle to optimize molded part thickness within a specification tolerance range and to simultaneously optimize micro-contour and micro-finish of the molded part surface with respect to precise replication of these first and second mold insert part-forming surfaces.

10

Example : use in multicavity injection mold

A specific application of the above is for multicavity molds , wherein each cavity is of the type shown in Fig. 1 or Fig. 2 , and each cavity  
15 being fed melt by any of the various conventional runner and sprue systems well known to the art.

"Cavity imbalance" means the mass of melt injected into each cavity is not exactly equal. It can be caused by slight variations in the runner  
20 system's metal temperature or surface smoothness (which alter the melt's viscosity and impedance to flow) , or slight geometric and dimensional variations (which change the orifice shape offered to the melt). The first step in correcting imbalance is to be able to measure it . The present invention does that , as discussed above , with each  
25 individual cavity's melt volume and corresponding spring deflections being available for readout in numerous forms .

As shown by Fig. 3a -3c , a considerable variation between cavities is possible , and within these range of conditions:

30 1. the product of each cavity will still meet thickness and surface quality tolerances ,



## 23

2. the cavity deflection of each cavity is easily measured , then compared to "nominal" (Fig. 3c) , and the magnitude of this deviation determines the corrective action taken (if needed)

3. to correct this measured imbalance , the easiest way to change the amount of melt entering a given cavity is to increase or decrease flow impedance in that leg of the runner system which feeds the cavity , by a variety of well-known means. Perhaps the most popular is simply to turn up the metal temperature in that leg of the mold , if underfill is the problem ( or, if overfilling, turn it down). Another way is to change the flow restrictions in a mechanically adjustable gating design , also well known . An example of the latter is given in Applicant's US 4,823,769 among others.

So , as long as the range of cavity-to-cavity imbalance doesn't exceed the conditions of Fig. 3a ( underfilled relative to nominal ) on one hand , and of Fig. 3b ( overfilled relative to nominal ) on the other hand , the resulting operations (which coincide with the range of permissible operations for the present invention ) will be both self-adaptive (more resistant to flash than conventional multicavity molds ) and self-monitoring ( provide quantitative data on each cavity's state of fill before the mold is opened and parts are ejected).

As an example , a 4-cavity mold for polycarbonate audio compact disks was fitted in each of its 4 cavities as shown in Fig. 3 with an LVDT (Schaevitz model 050DC-D) and a stack of  $\varnothing 2.55\text{mm}$  (3.250") O.D. , 15.24mm (0.600") thick , .508mm (0.020") dish belleville 6150 steel springs into a precisely machined pocket between the partforming insert and clamp plate , and a certain preload was applied by tightening , such that an additional 0.0635mm (.0025") rearward deflection required a force of about 7875N (35.000 lbs.) acting on the partforming surface of the

insert. This deflection is easily monitored by the LVDT and it corresponds to an average pressure of 12.8MPa (2000 psi) acting within the individual mold cavity by the molten plastic. This "moldpacking" pressure has been shown to be minimally sufficient to precisely replicate the tiny (0.3 microns deep, 1 micron long) pits which give the disk its playable signal.

Therefore, by watching each LVDT in each of the 4 cavities, the degree of proper packing can be assured as well as monitoring the degree of cavity-to-cavity equality or balance. The disk's nominal thickness is 1.2mm + or - .1mm (0.047 + or - 0.004"). The first position of the A-B mold inserts was set so that the preloaded-and-assembled closed but empty mold (before injection of plastic) first separation distance was just less than 1.13mm (0.0445"). So, as long as each cavity shows a deflection of at least 0.0635mm (0.0025") on its LVDT, the molded disk will be near the nominal thickness and will be sure to have been at least minimally sufficiently well-packed to give good pit surface replication. Yet if one cavity were to be imbalanced and receive as much as 1.0 gram greater weight of plastic (on a nominal 16 grams part weight, or 6.25% excess) into it, because of the resiliency of the spring, the cavity will "adapt" and will not "flash" (i.e. spill the excess out at the parting line). Because the greater rearward deflection is nonlinear, the melt density of the excess-filled cavity will be higher than the other cavities, in addition to forming a thicker disk still within specification.

We claim :

1. A method of improving product surface quality and process control in a plastic injection molding process, of the type having a moldset  
5 wherein first and second opposing mold insert surfaces are wetted by an incoming plastic melt, the first mold insert surface located on the stationary half of a mold parting line of the moldset and a second mold insert surface located on the movable half of the parting line of the moldset , said opposing mold surfaces forming a mold cavity having a  
10 volume , the method comprising the steps of:
  - a. Separating said first and second mold insert surfaces in a first position by a predetermined first distance which is slightly less than the sum of a desired final part thickness measured at room temperature  
15 plus a thermal shrinkage factor characteristic of the plastic ;
  - b. Separating said first mold insert surface a second distance away from its corresponding stationary-half clamp plate, and separating said second mold insert surface a third distance from its corresponding  
20 movable-half clamp plate while said opposing inserts are still in their first position, wherein at least one of said first and second insert surfaces is cooperatively connected to at least one of said corresponding clamp plates by means of a resilient member ;
  - 25 c. Injecting a volume of plastic melt into said mold cavity wherein said injected melt volume , at the injecting melt temperature , exceeds said mold cavity volume when empty, but said injected volume is insufficient to fully compress said resilient member , and wherein said injected melt volume exerts load upon said first and second mold insert  
30 surfaces which are now in a second position, whereby at least one of

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plates , thus reducing said distance separating said part-forming mold-insert surface from mold clamp plate, by incompletely compressing said resilient member proportionately to said exerted load;

- 5 e. Measuring the deflection corresponding to said reduced distance between said part-forming surface and mold clamp plate ;

thereby allowing the mold cavity to adapt to greater variation in said injected melt volume while still providing proper mold packing and

- 10 corresponding good surface replication in the molded plastic article without also changing its thickness beyond tolerances , and said measurement to confirm molded article quality and optionally to provide data for settable changes in a future molding cycle to further optimize molded part quality.

15

2. A method of Claim 1 wherein the deflection of the resilient member is measured by means of a position-sensing device and wherein said measuring step comprises comparing the deflection relative to a certain reference surface established adjacent to said resilient member under  
20 compression within the moldset . said measured deflection corresponding to a reduced distance between said mold insert surface and said mold clamp plate .

3. A method of Claim 1 wherein the injected melt volume within the mold  
25 cavity exerts at least 1000 psi and less than 10,000 psi upon said opposing mold insert surfaces .

4. A method of Claim 2 further comprising the step of displaying electronically the measured deflection.

30

5. A method of improving product surface quality and process control in a multicavity plastic injection molding process, of the type having a

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multicavity moldset wherein a plurality of first and second opposing mold insert surfaces are each wetted by an incoming plastic melt, and each of the first mold insert surfaces are located on the stationary half of a mold parting line of the moldset and each of the second mold insert surfaces are located on the movable half of the parting line of the moldset, said plurality of opposing mold surfaces each forming a mold cavity having a volume, the method comprising the steps of:

a. Separating said plurality of first and second mold insert surfaces in a first position by a predetermined first distance which is slightly less than the sum of a desired final part thickness measured at room temperature plus a thermal shrinkage factor characteristic of the plastic;

b. Separating said plurality of first mold insert surfaces a second distance away from its corresponding stationary-half clamp plate, and separating said plurality of second mold insert surfaces a third distance from its corresponding movable-half clamp plate while said opposing inserts are still in their first position, wherein at least one of each of said first and second insert surfaces is cooperatively connected to at least one one of said corresponding clamp plates by means of a resilient member for each of said cavities;

c. Injecting a volume of plastic melt into each of said mold cavity wherein said injected melt volume, at the injecting melt temperature, exceeds said mold cavity volume when empty, but said injected volume is insufficient to fully compress said resilient member, and wherein said injected melt volume exerts load upon said first and second mold insert surfaces which are now in a second position, whereby at least one of said mold-insert surfaces is deflected toward at least one of said corresponding clamp plates, thus reducing said distance separating

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said part-forming mold-insert surface from mold clamp plate, by incompletely compressing said resilient member proportionately to said exerted load , and wherein each cavity may be receiving slightly greater or lesser injected volumes without flashing at the parting line ;

5

e. Measuring in each cavity the deflection corresponding to said reduced distance between said part-forming surface and mold clamp plate by means of a position-sensing device and wherein said measuring step comprises comparing the deflection in each cavity relative to a certain

10 reference surface established adjacent to said resilient member under compression within the moldset , said measured deflection corresponding to a reduced distance between said mold insert surface and said mold clamp plate ;

15 thereby allowing each of the mold cavities to adapt to greater variation in said injected melt volume while still providing proper mold packing and corresponding good surface replication in the molded plastic article without also changing its thickness beyond tolerances , and said measurement to confirm molded article quality and optionally to provide

20 data for a settable change in a future molding cycle to further optimize molded part quality whereby said change in said injected melt volume may be made in a future molding cycle to reduce cavity-to-cavity imbalance by reducing injected melt volume into each of those cavities which show greater deflection and to increase injected melt volume into each of

25 those cavities which show lesser deflection .

30 f. An Apparatus for improving product surface quality and process control in a plastic injection molding process, of the type having a moldset having a parting line with a stationary half with a first clamp plate fixedly mounted to a stationary platen of an injection molding machine and a movable half with a second clamp plate fixedly mounted to

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a movable platen of said injection molding machine , said moldset comprising ;

a. said first and second clamp plates

5

b. a first and a second opposing mold insert surfaces which are wetted by an incoming plastic melt, the first mold insert surface located on the stationary half of the mold parting line of the moldset and a second mold insert surface located on the movable half of the parting line of  
10 the moldset , said opposing mold surfaces forming a mold cavity having a volume in a first position wherein said first and second mold insert surfaces are separated by a predetermined first distance which is slightly less than the sum of a desired final part thickness measured at room temperature plus a thermal shrinkage factor characteristic of the  
15 plastic , and said first mold insert surface is a second distance away from its corresponding stationary half clamp plate, and said second mold insert surface is a third distance from its movable half clamp plate ;

c. at least one resilient member , wherein at least one of said first  
20 and second insert surfaces is cooperatively connected to at least one one of said corresponding clamp plates by means of said resilient member , and wherein injecting a volume of plastic melt at the injecting melt temperature into said mold cavity exceeds said mold cavity volume when empty, but said injected volume is insufficient to fully compress said  
25 resilient member , and wherein said injected melt volume exerts load upon said first and second mold insert surfaces which are now in a second position, whereby at least one of said mold-insert surfaces is deflected toward at least one of said corresponding clamp plates , thus reducing said distance separating said part-forming mold-insert surface  
30 from said mold clamp plate, by incompletely compressing said resilient member proportionately to said exerted load;

30

d. means for measuring the deflection corresponding to said reduced distance between said part-forming surface and mold clamp plate ;

thereby allowing the mold cavity to adapt to greater variation in said  
5 injected melt volume while still providing proper mold packing and  
corresponding good surface replication in the molded plastic article  
without also changing its thickness beyond tolerances , and said  
measurement to confirm molded article quality and optionally to provide  
data for settable changes in a future molding cycle to further optimize  
10 molded part quality.

7. An Apparatus of Claim 6 wherein the means for measuring the  
deflection are a position-sensing device mounted within the moldset and  
wherein said measuring step comprises comparing the deflection relative  
15 to a certain reference surface established adjacent to said resilient  
member under compression within the moldset , said measured deflection  
corresponding to a reduced distance between said mold insert surface and  
said mold clamp plate .

20 8. An Apparatus of Claim 7 wherein the position-sensing device is a  
Linear Variable Differential Transformer .

9. An Apparatus of Claim 6 wherein the resilient member in an assembly  
under some settable pre-load compression is at least one mechanical  
25 spring.

10. An Apparatus of Claim 9 wherein the resilient member is an assembly  
of at least one steel belleville disk spring.

30 11. An Apparatus of Claim 9 wherein the resilient member is an  
elastomeric polymer spring.



12. An Apparatus of Claim 6 wherein the resilient member is at least one hydraulic cylinder with associated fluid supply and pressure regulating means for applying a settable pressure , such that the minimum acceptable load exerted by the injected melt volume upon the partforming  
5 surfaces of the mold inserts is required in order to provide any measurable deflection .

13. An Apparatus for improving product surface quality and process control in a multicavity plastic injection molding process, of the type  
10 having a multicavity moldset having a parting line with a stationary half with a first clamp plate fixedly mounted to a stationary platen of an injection molding machine and a movable half with a second clamp plate fixedly mounted to a movable platen of said injection molding machine , said multicavity moldset comprising ;

15

- a. said first and second clamp plates
- b. a plurality of first and second opposing mold insert surfaces which are wetted by an incoming plastic melt, wherein each of the first mold  
20 insert surfaces are located on the stationary half of the mold parting line of the moldset and each of the second mold insert surfaces are located on the movable half of the parting line of the moldset , said opposing mold surfaces forming a plurality of mold cavities each having a volume in a first position wherein said first and second mold insert  
25 surfaces are separated by a predetermined first distance which is slightly less than the sum of a desired final part thickness measured at room temperature plus a thermal shrinkage factor characteristic of the plastic , and said first mold insert surface is a second distance away from its corresponding stationary half clamp plate, and said second mold  
30 insert surface is a third distance from its corresponding movable half clamp plate ;

- c. at least one resilient member associated with each of the plurality of mold cavities , wherein at least one of said first and second insert surfaces is cooperatively connected to at least one one of said correaponding clamp plates by means of said resilient member , and
- 5 wherein injecting into each mold cavity a volume of plastic melt at the injecting melt temperature exceeds said mold cavity volume when empty, but said injected volume is insufficient to fully compress said resilient member , and wherein said injected melt volume exerts load upon said first and second mold insert surfaces which are now in a
- 10 second position, whereby at least one of said mold-insert surfaces is deflected toward at least one of said corresponding clamp plates , thus reducing said distance separating said part-forming mold-insert surface from mold clamp plate, by incompletely compressing said resilient member proportionately to said exerted load;
- 15
- d. means for measuring the deflection corresponding to said reduced distance between said part-forming surface and mold clamp plate in each mold cavity , by means of a position-sensing device and wherein said measuring step comprises comparing the deflection in each cavity
- 20 relative to a certain reference surface established adjacent to said resilient member under compression within the moldset , said measured deflection corresponding to a reduced distance between said mold insert surface and said mold clamp plate;
- 25 thereby allowing each of the mold cavities to adapt to greater variation in said injected melt volume while still providing proper mold packing and corresponding good surface replication in the molded plastic article without also changing its thickness beyond tolerances , and said measurement to confirm molded article quality and optionally to provide
- 30 data for a settable change in a future molding cycle to further optimize

molded part quality whereby said change in said injected melt volume may be made in a future molding cycle to reduce cavity-to-cavity imbalance by reducing injected melt volume into each of those cavities which show greater deflection and to increase injected melt volume into each of  
5 those cavities which show lesser deflection .

14. An Apparatus of Claim 13 wherein the position-sensing device is a Linear Variable Differential Transformer .

10 15. An Apparatus of Claim 13 wherein the resilient member in an assembly under some settable pre-load compression is at least one mechanical spring.

16. An Apparatus of Claim 15 wherein the resilient member is an assembly  
15 of at least one steel belleville disk spring.

17. An Apparatus of Claim 15 wherein the resilient member is an elastomeric polymer spring.

20 18. An Apparatus of Claim 13 wherein the resilient member is at least one hydraulic cylinder with associated fluid supply and pressure regulating means for applying a settable pressure , such that the minimum acceptable load exerted by the injected melt volume upon the partforming surfaces of the mold inserts is required in order to provide  
25 any measurable deflection .

19. An Apparatus of Claim 13 wherein the moldset produces optical spectacle lenses.

30 20. An Apparatus of Claim 13 wherein the moldset produces optical disks.

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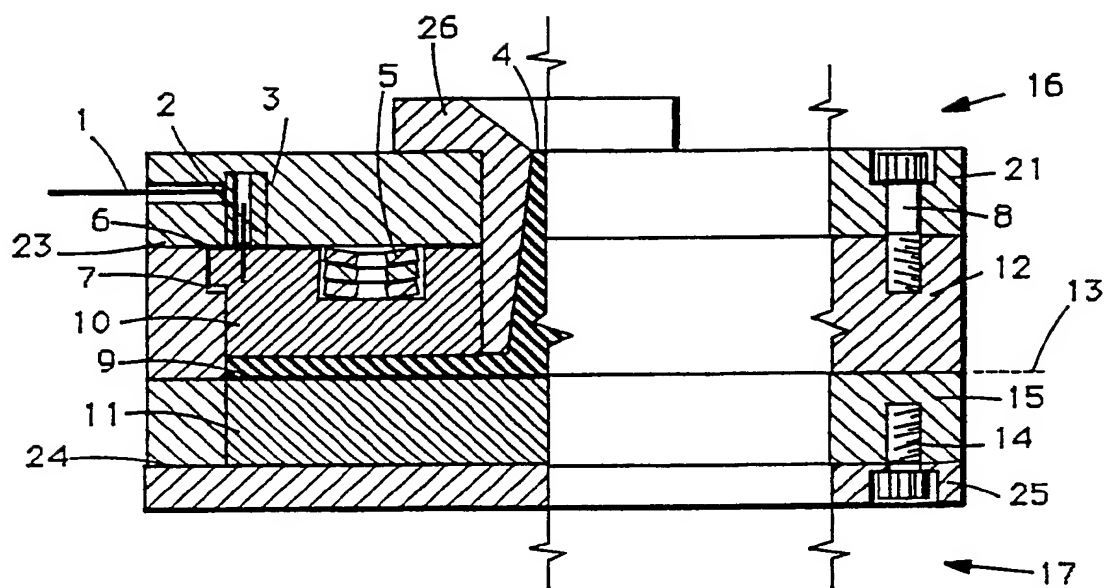


FIG. 1

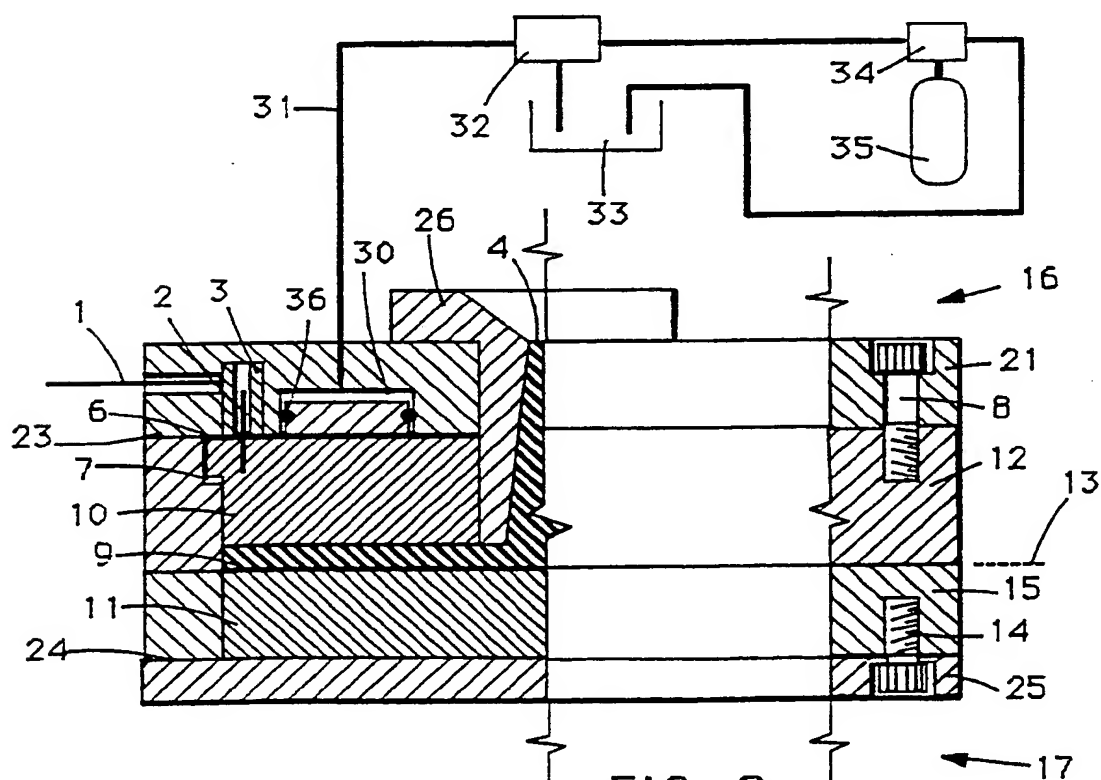


FIG. 2

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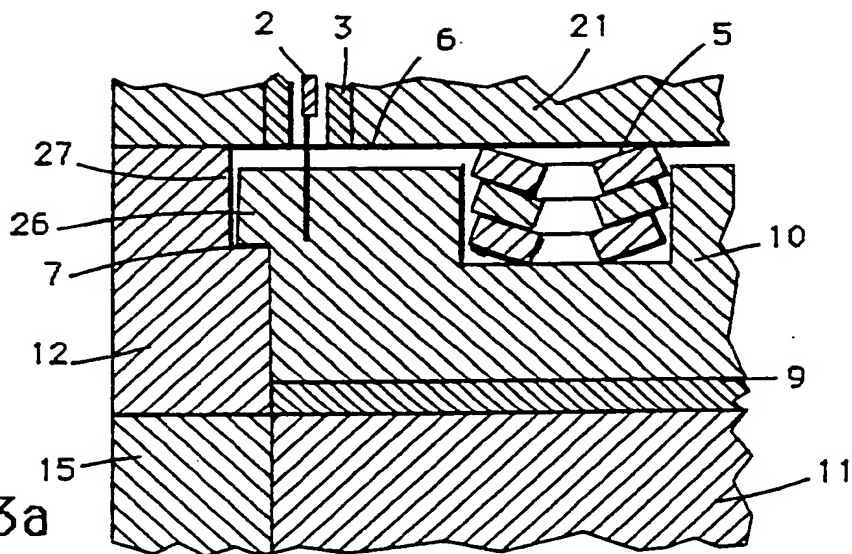


FIG. 3a

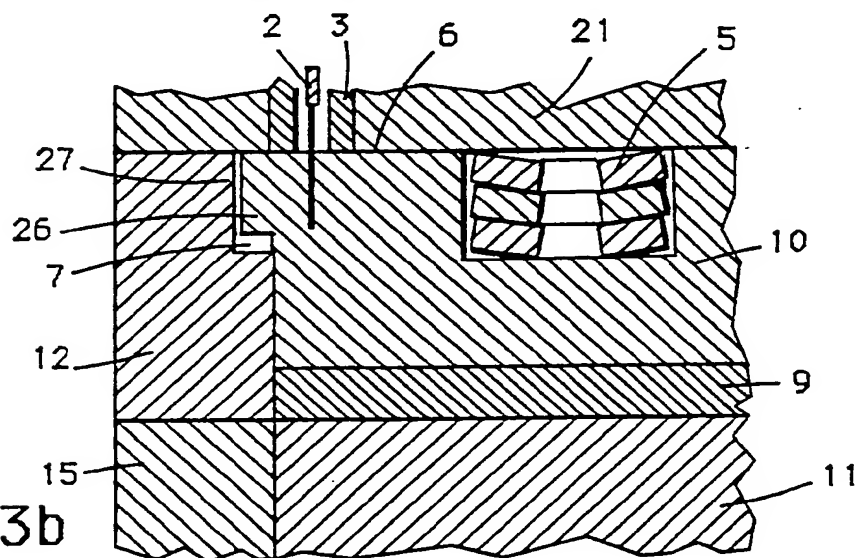


FIG. 3b

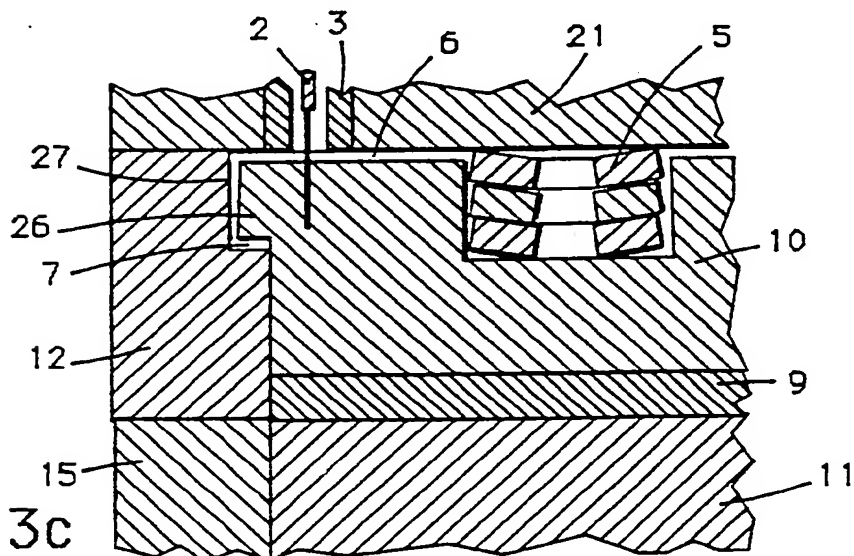


FIG. 3c

**SUBSTITUTE SHEET**

# INTERNATIONAL SEARCH REPORT

International Application No. PCT/US90/00843

**I. CLASSIFICATION OF SUBJECT MATTER** (if several classification symbols apply, indicate all) <sup>6</sup>  
 According to International Patent Classification (IPC) or to both National Classification and IPC  
 IPC (5) : B29C 45/56; B29C 45/80  
 U.S. Cl : 264/2.2, 40.5, 297.2, 328.7; 425/150, 555, 808, 810

**II. FIELDS SEARCHED**

Minimum Documentation Searched <sup>7</sup>

Classification System	Classification Symbols
U.S.	264/2.2, 40.1, 40.5, 297.2, 328.7, 328.8, 328.9, 328.11 425/140, 141, 150, 555, 574, 575, 588, 808, 810

Documentation Searched other than Minimum Documentation  
to the Extent that such Documents are Included in the Fields Searched <sup>8</sup>

**III. DOCUMENTS CONSIDERED TO BE RELEVANT <sup>9</sup>**

Category <sup>*</sup>	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>
Y	US, A 4,767,579 (BUJA) 30 August 1988 See Column 6, Lines 28-62.	1-20
Y	US, A 4,715,804 (TAKAHASHI) 29 December 1987 See Column 2 Line 67; Column 3 Line 21 and Figures 3 and 4.	1-20
Y	JP, A 61-125 820 (HITACHI) 13 June 1986 See Abstract.	5,13-20
Y	US, A 2,443,826 (JOHNSON) 22 June 1948 See the Figure.	5,9,13-20
Y, P	US, A 4,881,884 (DE'ATH) 21 November 1989 See Column 3, Lines 56-66.	9,10,15,16
Y	US, A 4,573,903 (BOUDET) 04 March 1986 See Claim 1.	11,17
A	JP, B 61-192532 (HITACHI) 27 August 1986 See Abstract.	1-20

<sup>\*</sup> Special categories of cited documents: <sup>10</sup>

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"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

**IV. CERTIFICATION**

Date of the Actual Completion of the International Search

Date of Mailing of this International Search Report

26 April 1990

05 JUL 1990

International Searching Authority

Signature of Authorized Officer

ISA/US

Jill L. Heitbrink

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